

Alpha–Omega Executive Packet

Governance of Reasoning Escalation in AI Systems

Prepared for AI safety, governance, and policy audiences
Non-operational | Diagnostic framework

What Alpha–Omega Is

Alpha–Omega is a non-operational governance framework for AI safety that addresses a specific, under-governed risk: undeclared escalation from explanation to guidance to action.

The Problem It Addresses

Most AI safety failures arise when descriptive analysis becomes advice and advice becomes authority without declared intent, consent, or accountability.

Core Insight

AI safety must regulate reasoning trajectories, not just outputs.

Three Reasoning Modes

Descriptive (Alpha): explains states without implying action.
Prescriptive (Guidance): conditional advice tied to goals.
Operational (Omega): intends real-world state change.

Transition Gates

Gate A: Descriptive to Prescriptive (goals, consent, assumptions, alternatives).
Gate B: Prescriptive to Operational (authority, scope, reversibility, harm, accountability).
Gate C: Hard stop conditions (coercion, deception, irreversible harm, context collapse).

What Alpha–Omega Enables

Earlier detection of escalation risk, safer human–AI collaboration, and governance that scales with capability without imposing values or policies.

Alpha–Omega Framework — Executive Overview
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